RTO 21421

For more information about courses and how to apply, please contact IIT:

Sales: 1300 88 33 46
Fax: (03) 8677 6911
Email: education@iit.edu.au

www.iit.edu.au

RG146 Specialist Investment Course

Our RG146 Specialist Investment Advisor course provides students with the specialist knowledge required in regards to stockbroking, securities, managed investments, derivatives, risk profile management, asset allocation strategies and portfolio structures.

This course also includes RG146 competency in areas that is covered by the FNS50615 Diploma of Financial Planning or equivalent. This means when you are ready to take your career to the next level, you are already halfway there! If you have already completed **RG146 training** you may only be required to complete one small area of study to attain this certificate. Please contact a member of the education team for further information if you believe this applies to you.

This course is comprised of 3 modules:

Financial Planning in Practice (FPP)
Provides students with the framework and foundation to understand the financial planning process (only general advice required).

Principles of Investment Planning (PIP)
Provides students with the specialist knowledge required to offer advice on securities, managed investments, derivatives, risk profile management, asset allocation strategies and portfolio structures.

Principles of Retirement and Superannuation (PRS)
Provides students with the knowledge of developing and using complex spreadsheets.

**For more information about registering as a financial advisor, please refer to this website - https://www.fasea.gov.au/consultations/proposed-guidance-on-qualification-pathways-for-all-advisers/*

Course Overview

The International Institute of Technology (RTO no.21421) provides quality skills based training courses necessary to become ASIC RG146 compliant and kick start your career in the fast growing financial services industry.

Entry requirements	There are no entry or eligibility requirements for this course.
Course Duration	The duration of the course will vary depending on each individual student and their prior experience and knowledge. 467 hours over a maximum of 8 months is the recommended timeframe for students new to the industry.
Assessments	True / False Questions (TF), Multiple Choice Questions (MCQ), Short Answer Questions (SAQ), Case Study, Role-Play (skills assessment based off the written Case Study (video submission) and Closed Book Online Quiz or Over-the-phone Oral Assessments. For more information on assessments visit http://www.iit.edu.au/about
Required Resources	*Hardware* - to complete all of the assessments, learners will need a computer/laptop, preferably with USB capabilities. *Software* - To complete all of the assessments, learners will need Microsoft Excel 2003 or later, Microsoft Word or later and internet connection.
Exemptions and Recognition of Prior Learning (RPL)	If you have completed previous studies in the financial services area, you may be eligible for some credits towards the course by way of course exemptions. For more information on course exemptions and recognition of prior learning, visit http://www.iit.edu.au/about

Distance Education	Distance Education is the most flexible of study options as it allows you to study anytime and anywhere. All reading material and assessments are accessed through the Moodle eLearning platform giving students flexibility to study while travelling or away from their desktop computer. This option also gives you access to our Distance Education Team comprised of experienced finance professionals who can discuss concepts and provide feedback on any assessments submitted.
Qualification Pathway	This course has national recognition. This course counts as a partial completion towards the FNS50615 Diploma of Financial Planning.
Related Courses	> FNS50615 - Diploma of Financial Planning > FNS60415 - Advanced Diploma in Financial Planning > RG146 Specialist Foreign Exchange Course > RG146 Specialist Margin Lending Course > RG146 Specialist Self Managed Superannuation Funds Course > RG146 Specialist Superannuation Course

Units of Competency Covered

The RG146 Specialist Investment Advisor course covers the following units of competency:

FNSIAD301	Provide general advice on financial products and services
FNSASICT503	Provide advice in Managed Investments
FNSASICW503	Provide advice in Securities
FNSASICV503	Provide advice in Derivatives
FNSFMK502	Analyse financial market products for client
FNSFMK503	Advise clients on financial risk
FNSINC501	Conduct product research to support recommendations
FNSIAD501	Provide appropriate services, advice and products to clients
FNSCUS505	Determine client requirements and expectations
FNSCUS506	Record and implement client instructions

IIT cannot guarantee completion as this is dependant on successful achievement of competencies or cannot guarantee employment outcome as IIT have no part in the recruitment of external entities.

INTERNATIONAL INSTITUTE OF TECHNOLOGY

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Australian Qualifications Framework